



PATENT

16032.902140

Express Mail Label No. EV 518006118 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

MURAYAMA, Yuicgu, et al.

Serial No: 09/890,799

Filed: 3 August 2001

For: THERMO-REVERSIBLE POLYMER FOR
INTALUMENAL INPLANT

Art Unit: 7938

Examiner: L. Di Nola Baron

**PETITION PURSUANT TO 37 C.F.R. § 1.17(L) FOR
REVIVAL OF AN UNAVOIDABLE ABANDONED
APPLICATION AND CONDITIONAL PETITION
FOR EXTENSION OF TIME**

Mail Stop DAC
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as Express Mail in an envelope addressed to:

Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313-1450, on

August 19, 2004

Date of Deposit

Heather B. Centurioni

Name

Signature

08/19/04

Date

Dear Sir:

In accordance with 37 C.F.R. § 1.17(l), Applicants respectfully petition for revival of the above-identified patent application which became unavoidably abandoned on 8-June-2004 (this is a presumed date based on the Office Action date—we did not actually receive the Office Action until 27-July-2004, and we have never received a Notice of Abandonment. The present application became abandoned as a result of United States Patent and Trademark Office error. Enclosed herewith is an Amendment in response to the Office Action so that prosecution of this application can be continued.

In accordance with 37 C.R.F. § 1.17(m), please charge the fee of \$55.00 for this petition to Deposit Account No. 50-2899, referencing docket number 16032.902140.

Applicant became aware that the application had become abandoned on or about 27-July-2004 upon receiving a call from the Examiner. Apparently, the USPTO data system in some manner got the instant case completely messed up.

08/24/2004 JADD01 00000023 502899 09890799

01 FC:2452 55.00 DA

The instant case is a U.S. National Phase of a PCT Application designating the United States. The case was filed from Crosby Heafey in Los Angeles, California. As is indicated by the enclosed copy of Missing Parts, the USPTO new the correct filing date and address for the undersigned attorney. After a considerable lapse of time no Office Action or other response had been received. The undersigned attorney attempted to check the status on Private PAIR but no entry was found. Upon calling the eBusiness Center at the USPTO the undersigned attorney was told that the PAIR system frequently fails to correctly associate cases with customer numbers. As a result, a written status request was made in April 2004 (copy enclosed). If the PTO had responded to the request in a timely manner, abandonment would have been avoided.

A copy of the mailing sheet for the Office Action is enclosed. It is plain that the USPTO somehow linked this Application Number with an incorrect inventor name as well as an incorrect filing date. The Office Action also informs applicants that no foreign priority document had been received. It would appear that the Examiner was also completely confused by the incorrect data.

The entire delay from the due date for the required response until the filing of this petition has been due to the need to communicate the Office Action to the inventors and receive their response. This abandonment was completely unavoidable. Applicants and their attorney made all the proper efforts to communicate with the Office. Applicants should not be held responsible for or penalized by such a serious failure on the part of the USPTO to properly maintain correct data records.

It is believed that no extension of time is required. However, should an extension of time be necessary to revive the above-identified application or to prevent the above-identified application from becoming abandoned again, please consider this a conditional petition for such an extension of time. Please charge any fee for such an extension of time to Deposit Account No. 50-2899, referencing docket number 16032.902140.

PATENT
16032.902140
Express Mail Label No. EV 518006118 US

If it should be determined that for any reason either an insufficient fee or an excessive has been paid, please charge any insufficiency or credit any overpayment necessary to ensure revival of the above-identified application to Deposit Account No. 50-2899, referencing docket number 16032.902140. A copy of this petition is enclosed.

Respectfully submitted,
LINER YANKELEVITZ
SUNSHINE & REGENSTREIF LLP

Date: August 19, 2004

By: Stefan J. Kirchanski
Stefan J. Kirchanski
Registration No. 36,568
Attorney for Applicant(s)

1100 Glendon Avenue, 14th Floor
Los Angeles, CA 90024
Phone: (310) 500-3500
Fax: (310) 500-3501

FILE



S.N. 09/290,799 File No. 343054.02140 Mailed 4/14/04 By: SK/hdb
Title: Thermo-Reversible Polymer
Client Name: VC
The following, due 2 in the U.S. Patent & Trademark Office, was received in the Patent & Trademark Office on the date stamped hereon:
☐ FEE TO BE PAID BY DEPOSIT ACCOUNT: ☐ Filing ☐ Extension ☐ Surcharge ☐ Recordation
☐ Issue ☐ Petition ☐ IDS ☐ Appeal ☐ Other
☐ Patent Appn: ☐ Prov'l ☐ Utility ☐ Div. ☐ Cont. ☐ CIP ☐ Design
☐ Parent/Prior Appn. No. if applicable: ☐ Pages Spec. ☐ Page Abstract ☐ Claims(s)
☐ Declaration/Power of Attorney ☐ UNSIGNED ☐ Copy, from parent ☐ Copy
☐ Assignment and Recordation Cover Sheet
☐ Check No. ☐ for \$
☐ Check No. ☐ for \$
☐ Assertion of Small Business Entity Status Under 37 CFR 1.27
☐ Request for Nonpublication and Certification Under 35 U.S.C. 122(b)(2)(B)(i) ☐ Status Request
☐ RCE ☐ CPA
☐ Certificate of Express Mail, Label No. ☐ After Final ☐ After Allowance
☐ Amendment/Response ☐ Restriction Req. ☐ month(s)
☐ Request for Extension of Time, ☐ Statement Under 37 CFR 3.73(b)
☐ Revocation and Power of Attorney ☐ Associate Power of Attorney ☐ Associate With Customer Number
☐ Change of Correspondence Address ☐ Petition for
☒ STATUS CHECK

S.N. 09/290,799 File No. 343054.02140 Mailed 4/14/04 By: SK/hdb
Title: Thermo-Reversible Polymer
Client Name: VC
The following, due 2 in the U.S. Patent & Trademark Office, was received in the Patent & Trademark Office on the date stamped hereon:
☐ FEE TO BE PAID BY DEPOSIT ACCOUNT: ☐ Filing ☐ Extension ☐ Surcharge ☐ Recordation
☐ Issue ☐ Petition ☐ IDS ☐ Appeal ☐ Other
☐ Patent Appn: ☐ Prov'l ☐ Utility ☐ Div. ☐ Cont. ☐ CIP ☐ Design
☐ Parent/Prior Appn. No. if applicable: ☐ Pages Spec. ☐ Page Abstract ☐ Claims(s)
☐ Declaration/Power of Attorney ☐ UNSIGNED ☐ Copy, from parent ☐ Copy
☐ Assignment and Recordation Cover Sheet
☐ Check No. ☐ for \$
☐ Check No. ☐ for \$
☐ Assertion of Small Business Entity Status Under 37 CFR 1.27
☐ Request for Nonpublication and Certification Under 35 U.S.C. 122(b)(2)(B)(i) ☐ Status Request
☐ RCE ☐ CPA
☐ Certificate of Express Mail, Label No. ☐ After Final ☐ After Allowance
☐ Amendment/Response ☐ Restriction Req. ☐ month(s)
☐ Request for Extension of Time, ☐ Statement Under 37 CFR 3.73(b)
☐ Revocation and Power of Attorney ☐ Associate Power of Attorney ☐ Associate With Customer Number
☐ Change of Correspondence Address ☐ Petition for
☒ STATUS CHECK



PATENT
343054.02140

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Yuichi MURAYAMA, et al.

Serial No: 09/890,799

Filed: August 3, 2001

For: THERMO-REVERSIBLE POLYMER FOR
INTRALUMENAL IMPLANT

Int'l Filing Date: 02/05/99

STATUS REQUEST

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313

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to:

Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313-1450, on

April 14, 2004

Date of Deposit

Heather B. Centurioni

Name

Heather B. Centurioni
Signature

04/14/04
Date

Dear Sir:

This application was filed August 3, 2001. Notification of Missing Requirements was transmitted on September 4, 1999. No further papers have been received in this case.

Please send the undersigned a Status Report on this application.

Respectfully submitted,

REED SMITH LLP

Date: April 14, 2004

By:

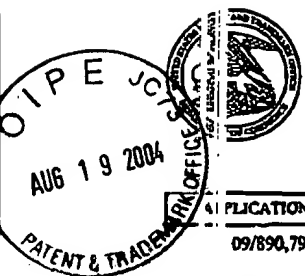
Stefan J. Kirchanski

Stefan J. Kirchanski

Registration No. 36,568

Attorney for Applicant(s)

1901 Avenue of the Stars, Suite 700
Los Angeles, CA 90067
Phone: (310) 734-5200
Fax: (310) 734-5299



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/890,799	01/02/2002	Wolfgang Wern	13054.02140	7938

21003 7590 12/08/2003

BAKER & BOTTS
30 ROCKEFELLER PLAZA
NEW YORK, NY 10112

EXAMINER

DI NOLA BARON, LILIANA

ART UNIT

PAPER NUMBER

1613

DATE MAILED: 12/08/2003

Please find below and/or attached an Office communication concerning this application or proceeding.



FILE

S.N. 09/890,799 File No. 13054.02140 Mailed 12/4/2001 By: SJK/kh
Title: THERMO-REVERSIBLE POLYMER FOR INTRALUMENAL IMPLANT
Client Name: Regents of the University of California
The following, due 12/4/2001 in the U.S. Patent & Trademark Office, was received in the Patent & Trademark Office on the date stamped hereon:

☐ Patent Appln: ☐ Prov'l ☐ Utility ☐ Div. ☐ Cont. ☐ CIP ☐ Design

Parent/Prior Appln. No. if applicable: _____

_____ Pages Spec. _____ Page Abstract _____ Claims(s)

☒ Declaration ☒ Power of Attorney ☐ Copy, from parent ☐ Copy

☒ Assignment and Recordation Cover Sheet ☐ Copy

☐ Check No. _____ for \$ _____

☐ Check No. _____ for \$ _____

☐ Assertion of Small Business Entity Status Under 37 CFR 1.27

☐ RCE/☐ CPA ☒ **FEE TO BE PAID BY DEPOSIT ACCOUNT**

☐ Certificate of Express Mail, Label No. _____

☐ Amendment/Response ☐ Restriction Req. ☐ After Final ☐ After Allowance

☒ Request for Extension of Time, 1 month(s)

☒ **EXTENSION FEE TO BE PAID BY DEPOSIT ACCOUNT**

☐ Revocation and Power of Attorney ☐ Statement Under 37 CFR 3.73(b)

☐ Change of Correspondence Address ☐ Associate Power of Attorney ☐ Associate With Customer Number

☒ Petition for

☒ Copy of Notification of Missing Requirements Under 35 USC 371

☐ Preliminary Amendment

☐ Letter of Transmittal

☐ Drawings, _____ Sheets

☐ Formal ☐ Informal

☐ Priority Document

Country _____ Doc. No. _____

☐ IDS: _____ Reference(s)

☐ Enclosed ☐ Listed only

☐ Certified Copy, _____ Docs

☒ Response to Missing Parts

☐ Notice of Appeal ☐ Brief

☐ Issue Fee Transmittal

☐ Advance soft copy order

S.N. 09/890,799 File No. 13054.02140 Mailed 12/4/2001 By: SJK/kh
Title: THERMO-REVERSIBLE POLYMER FOR INTRALUMENAL IMPLANT
Client Name: Regents of the University of California
The following, due 12/4/2001 in the U.S. Patent & Trademark Office, was received in the Patent & Trademark Office on the date stamped hereon:

☐ Patent Appln: ☐ Prov'l ☐ Utility ☐ Div. ☐ Cont. ☐ CIP ☐ Design

Parent/Prior Appln. No. if applicable: _____

_____ Pages Spec. _____ Page Abstract _____ Claims(s)

☒ Declaration ☒ Power of Attorney ☐ Copy, from parent ☐ Copy

☒ Assignment and Recordation Cover Sheet ☐ Copy

☐ Check No. _____ for \$ _____

☐ Check No. _____ for \$ _____

☐ Assertion of Small Business Entity Status Under 37 CFR 1.27

☐ RCE/☐ CPA ☒ **FEE TO BE PAID BY DEPOSIT ACCOUNT**

☐ Certificate of Express Mail, Label No. _____

☐ Amendment/Response ☐ Restriction Req. ☐ After Final ☐ After Allowance

☒ Request for Extension of Time, 1 month(s)

☒ **EXTENSION FEE TO BE PAID BY DEPOSIT ACCOUNT**

☐ Revocation and Power of Attorney ☐ Statement Under 37 CFR 3.73(b)

☐ Change of Correspondence Address ☐ Associate Power of Attorney ☐ Associate With Customer Number

☒ Petition for

☒ Copy of Notification of Missing Requirements Under 35 USC 371

☐ Preliminary Amendment

☐ Letter of Transmittal

☐ Drawings, _____ Sheets

☐ Formal ☐ Informal

☐ Priority Document

Country _____ Doc. No. _____

☐ IDS: _____ Reference(s)

☐ Enclosed ☐ Listed only

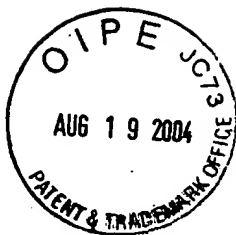
☐ Certified Copy, _____ Docs

☒ Response to Missing Parts

☐ Notice of Appeal ☐ Brief

☐ Issue Fee Transmittal

☐ Advance soft copy order



PATENT
13054.02140

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Yuichi MURAYAMA et al.

Serial No: 09/890,799

Int'l Appln. No. PCT/US99/02445

For: THERMO-REVERSIBLE POLYMER FOR
INTRALUMENAL IMPLANT

Int'l Filing Date: 02/05/99

Priority Date: 00/00/00

I hereby certify that this correspondence
is being deposited with the United States
Postal Service with sufficient postage as
first class mail in an envelope addressed
to:

Commissioner for Patents
Washington D.C. 20231, on

December 4, 2001

Date of Deposit

Kathy Hinkley

Name

Signature

12/4/01
Date

PETITION FOR EXTENSION OF TIME

Box MISSING PARTS

Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

In accordance with 37 C.F.R. 1.136, Applicant respectfully petitions the Commissioner for a one-month extension of time extending to December 4, 2001, the period for response to the Notification of Missing Requirements Under 35 U.S.C. 371 dated September 4, 2001. Please charge the fee of \$55 for this extension to Deposit Account No. 50-1796, referencing docket number 13054.02140. The responsive paper(s) are attached.

Please charge any insufficiency or credit any overpayment to Deposit Account No. 50-1796, referencing docket number 13054.02140. A copy of this petition is enclosed.

Respectfully submitted,

CROSBY, HEAFEY, ROACH & MAY

Date: December 4, 2001.

By: Stefan J. Kirchanski

Stefan J. Kirchanski

Registration No. 36,568

Attorney for Applicant(s)

1901 Avenue of the Stars, Suite 700
Los Angeles, CA 90067
Phone: (310) 734-5200
Fax: (310) 734-5299



PATENT
13054.02140

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Yuichi MURAYAMA et al.

Serial No: 09/890,799

Int'l Appln. No. PCT/US99/02445

For: THERMO-REVERSIBLE POLYMER FOR
INTRALUMENAL IMPLANT

Int'l Filing Date: 02/05/99

Priority Date: 00/00/00

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to:

Commissioner for Patents
Washington D.C. 20231, on

December 4, 2001

Date of Deposit

Kathy Hinckley

Name

Signature

12/4/01

Date

**RESPONSE TO NOTIFICATION OF MISSING
REQUIREMENTS UNDER 35 U.S.C. 371**

Box MISSING PARTS
Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

In response to the "Notification of Missing Requirements Under 35 U.S.C. 371 in the United States Designated/Elected Office (DO/EO/US)," dated September 4, 2001, response to which is extended from November 4, 2001 to December 4, 2001 by the accompanying Petition, enclosed are the following:

- ☒ A copy of the "Notification of Missing Requirements Under 35 U.S.C. 371 in the United States Designated/Elected Office (DO/EO/US)."
- ☒ A "Declaration and Power of Attorney" executed by the inventor(s) in two counterparts.
- ☒ A "Petition for Extension of Time" for one month(s).
Fee: \$ 55 ☐ Check enclosed
- ☐ Filing Fee: \$ ☐ Check enclosed
- ☒ Surcharge for late filing of Declaration.
Fee: \$ 65 ☐ Check enclosed
- ☒ Two executed Assignments with Recordation Cover Sheets.
Recordation Fee: \$ 80 ☐ Check enclosed

The Commissioner is authorized to charge any underpayment to Deposit Account No. 50-1796, referencing docket number 13054.02140. A copy of this letter is enclosed.

Respectfully submitted,

CROSBY, HEAFEY, ROACH & MAY

By: Stefan J. Kirchanski

Stefan J. Kirchanski

Registration No. 36,568

Attorney for Applicant(s)

Date: December 4, 2001

1901 Avenue of the Stars, Suite 700
Los Angeles, CA 90067
Phone: (310) 734-5200
Fax: (310) 734-5299



UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents, Box PCT
United States Patent and Trademark Office
Washington, D.C. 20231
www.uspto.gov

U.S. APPLICATION NO. 09/890799

MURAT APPLICANT

Y ATTY. DOCKET NO. 3054, 02140

09/890799

5071

INTERNATIONAL APPLICATION NO. PCT/05/02445

STEFAN J KIRCHANSKI
CROSBY HEAFEY ROACH & MAY
1901 AVENUE OF THE STARS SUITE 700
LOS ANGELES CA 90067

I.A. FILING DATE

PRIORITY DATE

02/05/99

00/00/00

09/04/01

DATE MAILED:

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

1. The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as ☐ a Designated Office (37 CFR 1.494) ☒ an Elected Office (37 CFR 1.495):
- ☒ U.S. Basic National Fee.
 - ☒ Copy of the international application.
 - ☐ Oath or Declaration of inventors(s).
 - ☐ Copy of Article 19 amendments.
 - ☐ Priority Document.
 - ☒ The International Preliminary Examination Report in English and its Annexes, if any.
 - ☐ Translation of Annexes to the International Preliminary Examination Report into English.
 - ☒ Indication of Small Entity Status.
 - ☐ Translation of the international application into English.
 - ☐ Translation of Article 19 amendments into English.
 - ☐ Other:
2. ☒ Applicant has requested early processing under 35 U.S.C. 371(f) but has not filed the following indicated items and/or the indicated items in paragraph 3 below. The Basic National Fee and the copy of the international application must be filed prior to 20 or 30 months from the priority date to avoid abandonment.
- ☐ U.S. Basic National Fee.
 - ☐ Copy of the international application.
3. The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:
- ☐ a. Translation of the application into English. A processing fee will be required if submitted later than the appropriate 20 or 30 months from the priority date.
 - ☐ The current translation is defective for the reasons indicated on the attached Notice of Defective Translation.
 - ☐ b. Processing fee for providing the translation of the application and/or the Annexes later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(f)).
 - ☒ c. Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), properly identifying the application (preferably by the International application number and international filing date). A surcharge will be required if submitted later than the appropriate 20 or 30 months from the priority date.
 - ☐ The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) for the reasons indicated on the attached PCT/DO/EO/917.
 - ☐ d. Surcharge for providing the oath or declaration later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(e)).
4. Additional claim fees of \$ _____ as a ☐ large entity ☐ small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due (37 CFR 1.492(g)). See attached PTO-875.
5. ☐ Applicant has not submitted the required sequence listing pursuant to 37 CFR 1.821-1.825. See attached PCT/DO/EO/920.

ALL OF THE ITEMS SET FORTH IN 3(a)-3(d), 4 AND 5 ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 22 OR 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).



DECLARATION
and POWER OF ATTORNEY

☒ ORIGINAL
☐ CONTINUATION
☐ DIVISIONAL

As a below named inventor, I declare that the information given herein is true, that I believe that I am the original, first and sole inventor (if only one name is listed as 1 below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

THERMO-REVERSIBLE POLYMER FOR INTRALUMENAL IMPLANT.

the specification of which is attached hereto unless the following box is checked:

☒ was filed on February 5, 1999 as PCT International Application Number PCT/US99/02445

My residence, post office address and citizenship are as stated below next to my name.

I acknowledge my duty to disclose information which is material to the patentability of this application in accordance with Title 37, Code of Federal Regulations § 1.56(a).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I hereby claim foreign priority benefits under Title 35, United States Code, § 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

PRIOR FOREIGN APPLICATION(S)

COUNTRY	APPLICATION NUMBER	DATE OF FILING Month Day Year	PRIORITY CLAIMED UNDER 35 U.S.C. 119
---------	--------------------	----------------------------------	---

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code § 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application.

(Application Serial No.)

(Filing Date)

(Status)

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or Agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. ☒ Customer Number **000028983**

Place Customer Number
Bar Code Label here

Send correspondence to:

☒ Customer Number **000028983**

Crosby, Heafey, Roach & May
1901 Ave. of the Stars, Suite 700
Los Angeles, California 90067

DIRECT TELEPHONE CALLS TO:
Stefan J. Kirchanski
(310) 734-5403

(Please Print)

1	Name of Inventor Yuichi Murayama	Residence: CITY Los Angeles	STATE or COUNTRY California 90046 24
	Post Office Address 10401 Wilshire Boulevard, #701		CITIZENSHIP U.S.
2	Name of Inventor Fernando Vinuela	Residence: CITY Los Angeles Pacific Palisades	STATE or COUNTRY California 90272 90077
	Post Office Address 10100 Sunset Boulevard, #101 940 STRADELLA ROAD		CITIZENSHIP Canada
3	Name of Inventor Yuichi Mori	Residence: CITY Enzan, Yamanashi-ken 404	STATE or COUNTRY Japan
	Post Office Address 275 Kumano		CITIZENSHIP Japan
4	Name of Inventor	Residence: CITY	STATE or COUNTRY
	Post Office Address		CITIZENSHIP

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

SIGNATURE OF INVENTOR 1 <i>Yuichi Murayama</i>	SIGNATURE OF INVENTOR 2 <i>[Signature]</i>
DATE <i>10/3/01</i>	DATE <i>10/3/01</i>
SIGNATURE OF INVENTOR 3	SIGNATURE OF INVENTOR 4
DATE	DATE



DECLARATION
and POWER OF ATTORNEY

☒ ORIGINAL
☐ CONTINUATION
☐ DIVISIONAL

As a below named inventor, I declare that the information given herein is true, that I believe that I am the original, first and sole inventor (if only one name is listed as 1 below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

THERMO-REVERSIBLE POLYMER FOR INTRALUMENAL IMPLANT,

the specification of which is attached hereto unless the following box is checked:

☒ was filed on February 5, 1999 as PCT International Application Number PCT/US99/02445

My residence, post office address and citizenship are as stated below next to my name.

I acknowledge my duty to disclose information which is material to the patentability of this application in accordance with Title 37, Code of Federal Regulations § 1.56(a).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I hereby claim foreign priority benefits under Title 35, United States Code, § 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

PRIOR FOREIGN APPLICATION(S)

COUNTRY	APPLICATION NUMBER	DATE OF FILING Month Day Year	PRIORITY CLAIMED UNDER 35 U.S.C. 119
---------	--------------------	----------------------------------	---

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code § 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application.

(Application Serial No.)

(Filing Date)

(Status)

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or Agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. ☒ Customer Number

000028983

Place Customer Number
Bar Code Label here

Send correspondence to:

☒ Customer Number

000028983

Crosby, Heafey, Roach & May
1901 Ave. of the Stars, Suite 700
Los Angeles, California 90067

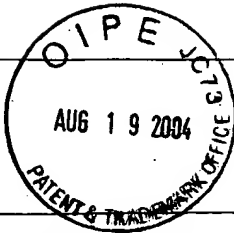
DIRECT TELEPHONE CALLS TO:
Stefan J. Kirchanski
(310) 734-5403

(Please Print)

1	Name of Inventor Yuichi Murayama	Residence: CITY Los Angeles	STATE or COUNTRY California 90049
	Post Office Address 10401 Wilshire Boulevard, #701		CITIZENSHIP U.S.
2	Name of Inventor Fernando Vinuela	Residence: CITY Pacific Palisades	STATE or COUNTRY California 90272
	Post Office Address 16100 Sunset Boulevard, #101		CITIZENSHIP Canada
3	Name of Inventor Yuichi Mori	Residence: CITY Enzan, Yamanashi-ken 404	STATE or COUNTRY Japan
	Post Office Address 275 Kumano		CITIZENSHIP Japan
4	Name of Inventor	Residence: CITY	STATE or COUNTRY
	Post Office Address		CITIZENSHIP

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

SIGNATURE OF INVENTOR 1	SIGNATURE OF INVENTOR 2
DATE	DATE
SIGNATURE OF INVENTOR 3 <i>Yuichi Mori</i>	SIGNATURE OF INVENTOR 4
DATE <i>August 24, 2001</i>	DATE



RECORDATION FORM COVER LETTER
PATENTS ONLY

To the Assistant Commissioner for Patents: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Yuichi MURAYAMA
Fernando VINUELA

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies):

The Regents of the University of California
1111 Franklin Street, 12th Floor
Oakland, California 94607-5200

Additional name(s) & address(es) attached? ☐ Yes ☐ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: October 3, 2001

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s) 09/890,799 filed August 3, 2001 B. Patent No.(s) issued

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Stefan J. Kirchanski, Esq.
CROSBY, HEAFEY, ROACH & MAY
1901 Avenue of the Stars, Suite 700
Los Angeles, CA 90067
Phone: (310) 734-5200
Fax: (310) 734-5299

6. Total number of applications and patents involved: [1]

7. Total fee (37 CFR 3.41) \$40.00

- ☐ Enclosed
☒ Authorized to be charged to deposit account

8. Deposit account number:

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Stefan J. Kirchanski, Reg. No. 36,568

Date: December 4, 2001

OMB No. 0651-0011 (exp. 4/94)

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**Assistant Commissioner for Patents
Box Assignments
Washington, D.C. 20231**

ASSIGNMENT

- (1-8) *Insert Name(s) of Inventor(s)*
- | | |
|----------------------|-----|
| (1) Yuichi Murayama | (5) |
| (2) Fernando Vinuela | (6) |
| (3) Yuichi Mori | (7) |
| (4) | (8) |
- In consideration of the sum of one dollar (\$1.00) and other good and valuable considerations paid to each of the undersigned, the undersigned agree(s) to assign, and hereby does assign, transfer and set over to
- (9) *Insert name of Assignee* (9) The Regents of the University of California
- (10) *Insert state of Incorporation of Assignee* (10) California
- (11) *Insert address of Assignee* (11) of 1111 Franklin Street, 12th Floor, Oakland, California 94607-5200 (hereinafter designated as the Assignee) the entire worldwide right, title and interest in the invention known as
- (12) *Insert identification of Invention, such as Title, Case Number or Foreign Application Number* (12) THERMO-REVERSIBLE POLYMER FOR INTRALUMENAL IMPLANT, for which the undersigned has (have) executed an application for patent in the United States of America and all patent applications in foreign countries corresponding thereto or based thereon.
- (13) *Insert Date of Signing of Application* (13)

1) The undersigned agree(s) to execute all papers necessary in connection with any original, reissue, divisional and continuing United States and foreign applications for the above-identified invention and also to execute separate assignments in connection with such applications as the Assignee may deem necessary or expedient.

2) The undersigned agree(s) to execute all papers necessary in connection with any interference which may be declared concerning this application or continuation or division thereof and to cooperate with the Assignee in every way possible in obtaining evidence and going forward with such interference.

3) The undersigned agree(s) to execute all papers and documents and perform any act which may be necessary in connection with claims or provisions of the International Convention for Protection of Industrial Property or similar agreements.

4) The undersigned agree(s) to perform all affirmative acts which may be necessary to obtain a grant of a valid United States patent to the Assignee.

5) The undersigned hereby authorize(s) and request(s) the Commissioner of Patents to issue any and all Letters Patents of the United States resulting from said application or any division or divisions or continuing applications thereof to the said Assignee, as Assignee of the entire interest, and hereby covenants that he has (they have) full right to convey the entire interest herein assigned, and that he has (they have) not executed any agreement in conflict herewith.

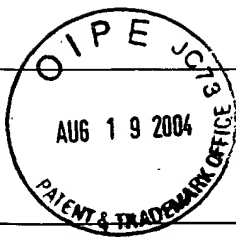
- 6) The undersigned hereby appoints J. William Wigert, Reg. No. 24,582, JoAnne Redmann, Reg. No. 31,482, Stefan J. Kirchanski, Reg. No. 36,568, Nathan P. Koenig, Reg. No. 38,210, Dennis S. Morris, Reg. No. 38,975, Doyle B. Johnson, Reg. No. 39,240, John W. Carpenter, Reg. No. 39,129, and Tobi C. Clinton, Reg. No. 43,553, the power to insert on this assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

Date: 10/3/01 Signature of Inventor: Yuichi Murayama

Date: 10/3/01 Signature of Inventor: [Signature]

Date: _____ Signature of Inventor: _____

Date: _____ Signature of Inventor: _____



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Attorney Docket No.: 13054.02140

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1. Name of conveying party(ies):

Yuichi MORI

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

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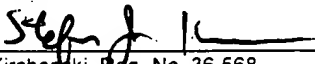
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Date: _____ Signature of Inventor _____

Date: _____ Signature of Inventor _____

Date: August 24, 2001 Signature of Inventor Yuichi Mori

Date: _____ Signature of Inventor _____